



SMIFS CAPITAL MARKETS LIMITED

September 18, 2020

**The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalai Street
Mumbai 400 001**

Dear Sir,

Sub Newspaper Advertisement — Notice of AGM on September 25 2021 (Annexure – I) We are forwarding the copy of newspaper advertisement in respect of the notice of AGM to be held on September 25, 2021 published in English Daily (Business Standard) on August 19, 2021 and in Bengali (Ek Din) on August 19, 2021 (Annexure-I).

Please Acknowledge.

Yours faithfully,
For **SMIFS CAPITAL MARKETS LIMITED**

(Poonam Bhatia)
Company Secretary -cum - Senior Manager Legal



Encl: As stated

SMIFS CAPITAL MARKETS LIMITED

Regd. Office: 'Vaibhav' 4F, 4, Lee Road, Kolkata - 700 020

CIN NO: L74300WB1983PLC036342

Tel No. 033-2290-7400/ 7401/7402/0544 Fax No. 033-2287-4042, 2240-6884

E-mail: smifcap@gmail.com, cs.smifs@gmail.com Website: www.smifscap.com

NOTICE

1. The 38th Annual General Meeting (AGM) of the members of SMIFS CAPITAL MARKETS Limited (the 'Company') will be held on Saturday, September 25, 2021 at 11.00 a.m. through Video Conferencing / Other Audio Visual Means (VC). In compliance with General Circular numbers 02/2021, 20/2020, 14/2020, 17/2020 issued by the Ministry of Corporate Affairs (MCA) and Circular number SEBI/HO/CFD/CMD1/CIR/P/2020/79 issued by the Securities and Exchange Board of India (SEBI) (hereinafter collectively referred to as 'Circulars'), Companies are allowed to hold AGMs through VC, without the physical presence of members at a common venue. Hence, the AGM of the Company is being held through VC to transact the business as set forth in the Notice of the AGM dated June 29, 2021.
2. In compliance with the Circulars, electronic copies of the Notice of the AGM and Annual Report 2020-2021 have been sent to all the members whose Email Ids are registered with the Company/ Depository Participant(s). These documents are also available on the website of the Company at www.smifscap.com and on the website of Central Depositories services Limited ('CDSL') at www.cdslindia.com. The dispatch of Notice of the AGM through emails has been completed on 17th August, 2021.
3. Members holding shares either in physical form or dematerialized form, as on the cut-off date (September 18, 2021), may cast their votes electronically on the business as set forth in the Notice of the AGM through the electronic voting system of CDSL ('remote e-voting').
Members are hereby informed that:
 - i. The business as set forth in the Notice of the AGM may be transacted through remote e-voting pre-voting system at the AGM;
 - ii. The remote e-voting shall commence on September 21, 2021 (10 a.m.);
 - iii. The remote e-voting shall end on September 24, 2021 (5 p.m.);
 - iv. The cut-off date for determining the eligibility to vote by remote e-voting or by e-voting system at the AGM shall be September 18, 2021;
 - v. Remote e-voting module will be disabled after 5 p.m on September 24, 2021;
 - vi. Any person, who acquires shares of the Company and become member post-dispatch of the Notice of the AGM and holds shares as on the cut-off date i.e. September 18, 2021, may obtain the login ID and password by sending a request at mdpldc@yahoo.com. However, if a person is already registered with CDSL for e-voting then the existing User ID and Password can be used for casting their vote;
 - vii. Members may note that: a) The remote e-voting module shall be disabled by CDSL beyond 5.00 p.m. on September 24, 2021 once the votes on a resolution is cast by the member, the member shall not be allowed to change it subsequently; b) The facility for voting will also be made available during the AGM and those members present in the AGM through VC facility, who have not cast their vote on the resolutions through remote e-voting and are otherwise not barred from doing so, shall be eligible to vote through the e-voting at the AGM; c) The members who have cast their votes by remote e-voting prior to the AGM may also attend the AGM but shall not be entitled to cast their vote again; and d) Only persons whose name is recorded in the register of members or in the register of beneficial owners maintained by the depositories as on the cut-off date shall be entitled to avail the facility of remote e-voting pre-voting at the AGM.
 - viii. The manner of voting remotely for members holding shares in dematerialised mode, physical mode and for members who have not registered their email addresses is provided in the Notice of the AGM. The details will also be made available on the website of the Company. Members are requested to visit www.smifscap.com to obtain such details.
 - ix. Members who have not registered their email addresses are requested to register their email addresses with respective depository participant(s) and members holding shares in physical mode are requested to update their email addresses with Company's Registrar and Share Transfer Agent, Maheshwari Datamatics Private Limited, 23, R.N. Mukherjee Road, 5th floor, Kolkata-700001, Telephone: 033-22482248, Fax: 033-22484787, mdpldc@yahoo.com to receive copies of the Annual Report 2020-2021 along with the Notice of the 38th AGM, instructions for remote e-voting and instructions for participation in the AGM through VC.
 - x. In case of any grievances regarding e-voting, the members may write to Mr. Arghya Majumder, Assistant Manager, CDSL, Unit No. A1(I) & A1(III), Block, 1st Floor, 22, Camac Street, Kolkata - 700016 or at e-mail id arghyam@cdslindia.com or contact at Ph: 919830082291. The queries can be also sent to helpdesk.evoting@cdslindia.com.

By Order of the Board of Directors
For SMIFS Capital Markets Limited

Sd/-

Place : Kolkata

(Poonam Bhatia)

Date : 18th August, 2021

Company Secretary-cum-Senior Manager Legal

Certified True Copy
For SMIFS CAPITAL MARKETS LIMITED*P Bhatia***(POONAM BHATIA)**
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL